

07-30-01 A

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	SP01-209	Total Pages	
	First Named Inventor or Application Identifier   CHOU, Kevin Y.			
	Title   Low Modulus, High Tensile Strength Optical Fiber Coating			
	Express Mail Label No.		<b>EL 689101450 US</b>	

		<b>ADDRESS TO:</b>		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> * Fee Transmittal Form <i>(Submit an original and a duplicate for fee processing)</i>		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)		
2. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to Microfiche Appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		[Total Pages] <b>42</b>		6. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Copy</li> <li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol>
3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. § 113) 		[Total Sheets] <b>2</b>		<b>ACCOMPANYING APPLICATION PARTS</b>
4. Oath or Declaration <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))  <i>(for continuation/divisional with Box 16 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b>            Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).</li> </ul> </li> </ol>		[Total Pages] <b>2</b>		7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i> 9. <input type="checkbox"/> English Translation Document <i>(if applicable)</i> 10. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(should be specifically itemized)</i> 14. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 15. <input type="checkbox"/> Other:

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation    Divisional    Continuation-in-part (CIP)   of prior application No.: 08/

Prior application information: Examiner: Group / Art Unit:

For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

#### 17. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label **22928**      or     Correspondence address below

NAME	Timothy R. Krogh			
ADDRESS	Corning Incorporated, SP-TI-3-1			
CITY	Corning	STATE	NY	ZIP CODE
COUNTRY	USA	TELEPHONE	(607) 974-2743	FAX
Name (Print/Type)	Timothy R. Krogh		Registration No. (Attorney/Agent)	40,688
Signature	<i>TO/KR</i>		Date	7/27/01

07/27/01

# FEE TRANSMITTAL for FY 2000

U.S. P.T.O.

**TOTAL AMOUNT OF PAYMENT (\$)** **1,258.00**

**Complete if Known**

Application Number	
Filing Date	
First Named Inventor	Chou, Kevin
Examiner Name	
Group / Art Unit	

**Attorney Docket Number**

SP01-209

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number  
03-3325

Deposit Account Name  
Corning Incorporated

Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	Utility filing fee	
106 320	Design filing fee	
107 490	Plant filing fee	
108 710	Reissue filing fee	
114 150	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$)</b> 710.00

**2. EXTRA CLAIM FEES**

Extra Claims below	Fee from	Fee Paid
Total Claims 46 - 20** = 26	x 18 =	468.00
Independent Claims 4 - 3** = 1	x 80 =	80.00

Multiple Dependent =

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Fee Description
103 18	Claims in excess of 20
102 80	Independent claims in excess of 3
104 270	Multiple dependent claim, if not paid
109 80	** Reissue independent claims over original patent
110 18	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>	

**(\$)** 548.00

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	Surcharge - late filing fee or oath	
127 50	Surcharge - late provisional filing fee or cover sheet	
139 130	Non-English specification	
147 2,520	For filing a request for reexamination	
112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840	Requesting publication of SIR after Examiner action	
115 110	Extension for reply within first month	
116 390	Extension for reply within second month	
117 890	Extension for reply within third month	
118 1,390	Extension for reply within fourth month	
128 1,890	Extension for reply within fifth month	
119 310	Notice of Appeal	
120 310	Filing a brief in support of an appeal	
121 270	Request for oral hearing	
138 1,510	Petition to institute a public use proceeding	
140 110	Petition to revive - unavoidable	
141 1,240	Petition to revive - unintentional	
142 1,240	Utility issue fee (or reissue)	
143 440	Design issue fee	
144 600	Plant issue fee	
122 130	Petitions to the Commissioner	
123 50	Petitions related to provisional applications	
126 240	Submission of Information Disclosure Stmt	
581 40	Recording each patent assignment per property (times number of properties) x	
146 710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
149 710	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
Other fee (specify) _____		
Other fee (specify) _____		
*Reduced by Basic Filing Fee Paid		<b>SUBTOTAL (3)</b> <b>(\$)</b>

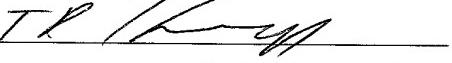
**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Timothy R. Krogh	Registration No. (Attorney/Agent)	40,688
Signature	<i>TR/Krogh</i>	Date	7/27/01

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

Signature 

"EXPRESS MAIL" Mailing Label No. EL689101450 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**COMBINED  
CERTIFICATE UNDER  
37 C.F.R. § 3.73(b)  
and  
POWER OF ATTORNEY**

**Applicant(s):** Chou, et al

**Serial No.:**

**Filed:**

**For:** Low Modulus, High Tensile Strength  
Optical Fiber Coating

Assistant Commissioner for Patents  
Washington, DC 20231

**CERTIFICATE UNDER 37 C.F.R. § 3.73(b)**

**CORNING INCORPORATED**, a New York corporation, certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventor(s) of the patent application identified above. A true copy of the unrecorded Assignment is attached hereto.

The undersigned has reviewed the above referenced assignment of the patent application identified above and, to the best of the undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned is empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.